

Department of
Health and Human Services
Division of Budget and Analysis

Results Based Budget
2007-09

August 17, 2006

Department of Health and Human Services Results Based Budget

Key Differences between DHHS and OSBM Results Based Budget Documents

- DHHS results based budget ties DHHS programs (OSBM services) to the measures.
- DHHS results based budget ties the financial information to DHHS programs (OSBM services).
- DHHS results based budget ties the expansion request to DHHS programs (OSBM services).
- DHHS results based budget will provide the ability to present a complete program including description, financial information and measures when the program is funded in multiple funds.
- The DHHS budget data will include budget requirements for State Level Administration (operating costs), public assistance and intra-governmental transfers.

Department of Health and Human Services
Supplemental Results Based Budget Package

Division of _____

Mission

The Division will enter the Mission Statement exactly as prepared for the Governor's Recommended Budget.

Program Goals

Program Title(s) - Goal

Program Title(s) - Goal

Program Title(s) - Goal

Program Title(s) - Goal

Program Title(s) - Goal

Program Title(s) - Goal

Program Title(s) - Goal

Program Title(s) - Goal

Program Title(s) - Goal

The Division must enter the key program title(s) and goals exactly as provided to OSBM for the Governor's Recommended Budget based on data from the PMD. The program goal may reference multiple programs titles.

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Summary

The Division is responsible for entering information for this whole section (budget summary) as provided in the Governor's Recommended Budget.

Governor's Recommended Adjustments to Base Budget

Budget Code - Division of		- General Fund	
Recommended Budget and Positions			
		<u>2007-08</u>	<u>2008-09</u>
Budget			
Base Budget(Worksheet I)	\$	-	\$ -
Reductions	\$	-	\$ -
Expansion	\$	-	\$ -
RECOMMENDED BUDGET	\$	-	\$ -
Positions			
Base Budget(Worksheet I)		-	-
Reductions		-	-
Expansion		-	-
RECOMMENDED POSITIONS		-	-

Recommended Adjustments by Title / Program

	<u>2007-08</u>	<u>2008-09</u>
1. Title / Program		
Description as stated in Governor's Recommendation Package		
Requirements	\$ -	\$ -
Requirements - Nonrecurring	\$ -	\$ -
Receipts	\$ -	\$ -
Appropriation	\$ -	\$ -
Number of Positions	-	-

2. Title / Program		
Description as stated in Governor's Recommendation Package		
Requirements	\$ -	\$ -
Requirements - Nonrecurring	\$ -	\$ -
Receipts	\$ -	\$ -
Appropriation	\$ -	\$ -
Number of Positions	-	-

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Summary

Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$ -	\$ -
Receipts	<u>-</u>	<u>-</u>
Appropriation	\$ -	\$ -
Number of Positions	-	-
Nonrecurring		
Requirements	\$ -	\$ -
Receipts	<u>-</u>	<u>-</u>
Appropriation	\$ -	\$ -
Number of Positions	-	-

**Total Recommended Adjustments for
Division of Social Services
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$ -	\$ -
Receipts	-	-
Appropriation	\$ -	\$ -
Number of Positions	-	-
Nonrecurring		
Requirements	\$ -	\$ -
Receipts	-	-
Appropriation	\$ -	\$ -
Number of Positions	-	-
Total Budget Adjustments	\$ -	\$ -
Total Position Adjustments	-	-

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Summary

Budget Code - Division of

Insert Budget Code Number -Division Name

Expenditure History

Actual Expenditures

June 30

Positions History

**The Division of
Budget and Analysis
will generate data for
both graphic
presentations**

Graphic presentation of expenditures by source for 6 years actual
2000-2005

Actual Positions

June 30

Budget Preparation System

Graphic table total positions for 2000 through 2005

**The Division will enter financial information at the
budget code level from the Governor's Recommended
Budget for Actual, Certified, Authorized, Continuation
and Expansion.**

Total Budget Summary

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance % to Total Req.	-	-	-	-	-	#DIV/0!	-	-	#DIV/0!
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation % to Total Req.	-	-	-	-	-	#DIV/0!	-	-	#DIV/0!
Positions	-	-	-	-	-	-	-	-	-

**The Division will enter the financial information for State
Level Administration from the requirement accounts
531xxx - 535xxx plus 537xxx, Public Assistance from
536xxx and Intragov. Transfer from 538xxx.**

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Detail - Fund / Program Level

Presentation Note: Information contained in this section is presented at the fund / program level. For programs in which all budget activity is contained in a single fund, a Program Description, Program Performance Measures, and Adjusted Budget information is presented in it's respective fund. For those programs that have financial activity in multiple funds this presentation provides Adjusted Budget information at the respective fund level for each of the impacted funds. An Appendix is provided at the end of this section that summarizes Adjusted Budget information for these programs, with multiple fund activity, at the program level and also provides a Program Description and Program Performance Measures. Provided below is a legend identifying the programs presented in this section, by fund.

The "Program/Other Costs" will be a comprehensive list of programs from the PMD, plus Administration and Other Non-programs. The Division will enter this data.

Program / Other Costs	Fund Code(s)

For each "Program/Other Costs" item, list all fund codes in the General Fund for the Program, Administration or Other Non-program budget data include "appendix" if the program is budgeted in multiple funds.

Budget Code - Division of _____ - General Fund
Fund _____ Title

Fund data will be in numeric order

Fund Level Summary

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
<i>State Level Admin.</i>	-	-	-	-	-	-	-	-	-
<i>Public Assistance</i>	-	-	-	-	-	-	-	-	-
<i>Intragov. Transfers</i>	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation	-	-	-	-	-	-	-	-	-
Positions	-	-	-	-	-	-	-	-	-

Fund Description

Division will enter the Fund description purpose statement as submitted for the Governor's Recommended Budget

The Division should reconcile all program financial information to the Fund Level Summary.

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Programs which have financial data in multiple fund codes will be presented in the "appendix" with detail program information. For these programs only the financial information will be presented at the fund level.

Detail program information is included at the fund level when 100% of the program budget is within a single fund.

Programs and Other Costs

Program Title

Program Description

Key Performance Measures

The Division will enter the Program Title, Program Description & Key Performance Measures as presented in the PMD.

2003-04

2004-05

2005-06

The Division will enter the financial information for the program within this specific fund.

Adjusted Budget - Total Program

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation	-	-	-	-	-	-	-	-	-
Positions	-	-	-	-	-	-	-	-	-

The Division will repeat the entry of all program information for each program which has 100% of the budget within the fund

**Department of Health and Human Services
Supplemental Results Based Budget Package**

The Division will enter only the financial information within each fund for a program that has financial information in multiple funds. The program information will be entered in the "appendix."

Program Title

Adjusted Budget

	2006-07 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation	-	-	-	-	-	-	-	-	-
Positions	-	-	-	-	-	-	-		-

Department of Health and Human Services
Supplemental Results Based Budget Package

Budget Detail - Fund / Program Level

Appendix

Program Title

Program Description

Key Performance Measures

The "Appendix" will present the total program information (consistent with PMD) including Program Title, Program Description, Key Performance Measures and total financial information from all funds.

2003-04 2004-05 2005-06

Adjusted Budget - Total Program

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation	-	-	-	-	-	-	-	-	-
Positions	-	-	-	-	-	-	-	-	-

Fund Level financial
information should
reconcile to the total
financial information for
the program.

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Division of Facility Services

Mission

Provide for the health, safety, and well-being of individuals through effective regulatory and remedial activities, including appropriate consultation and training opportunities, and by improving access to health care delivery systems through the rational allocation of needed facilities and services.

Program Goals

Adult Care Licensure Program - The Adult Care Licensure Section of the Division of Facility Services is responsible for licensing and regulating assisted living facilities called adult care homes. The primary goal is to ensure the health, safety and welfare of individuals residing in adult care facilities. During annual inspections and county monitoring visits, noncompliance is identified and plans of correction are required. Noncompliance may result in licensure action and/or the imposition of financial penalties.

Bioterrorism Preparedness Program - The NC Office of Emergency Medical Services, under Phase II of the HRSA federal Bioterrorism Preparedness Grant, was directed to develop a state wide needs assessment and regional disaster response plans.

Certificate of Need Program - The North Carolina Certificate of Need Law prohibits health care providers from acquiring, replacing, or adding to their facilities and equipment, except in specified circumstances, without the prior approval of the Department of Health and Human Services. Prior approval is also required for the initiation of certain medical services. The law restricts unnecessary increases in health care costs and limits unnecessary health services and facilities based on geography, demography and economics.

Complaint Intake Unit Program - To assure the health, safety and welfare of health care recipients of health care facilities and agencies throughout North Carolina through timely and consistent intake of all complaints within the jurisdiction of Division of Facility Services. Intakes are received and processed in accordance with State licensing rules and Federal Centers for Medicare and Medicaid Services regulations as specified in the State Operations Manual.

Emergency Medical Services Program - To ensure that all citizens have access to quality emergency medical and trauma care.

Health Care Personnel Registry Program - To help protect the health, safety and welfare of the patients and residents being served by the health care facilities, agencies and programs regulated by the Division of Facility Services.

The goal of the Health Care Facility Finance Act is to promote public health and welfare through the financing of qualifying healthcare facilities by enabling the Medical Care Commission to issue tax-exempt revenue bonds to finance construction and equipment projects for nonprofit and public hospitals, nursing homes, continuing care facilities for the elderly and facilities related to the foregoing.

Jails and Detention Program - To ensure standards for health and safety are met by each municipal and regional county jail facility within the State. In addition, the section provides quality consulting services that include the areas of construction, policy updates and investigations.

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Licensure and Certification Program - Responsibility is to inspect health care facilities, agencies and clinical laboratories to assure that citizen of North Carolina receive safe and adequate health care. Inspectors rely on state and federal laws/rules to conduct their inspections. The Section has approximately 150 employees with 80% of the staff dedicated to conducting the inspections.

Medical Facilities Construction Program - To facilitate and improve compliance with Licensure, Certification and Health Care Facility Finance Program (HFA) requirements related to construction and Life Safety which should improve treatment or living conditions related to buildings and safety in the facilities regulated by the Division.

Medical Facilities Planning Program - Is responsible for the development of an annual State Medical Facilities Plan. That document contains policies and methodologies used in determining the need for new health care facilities and services in North Carolina.

Mental Health Licensure and Certification Program - Provider compliance with state rules and/or federal regulations as applicable.

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Summary

Governor's Recommended Adjustments to Base Budget

14470 -Division of Facility Services General Fund

Recommended Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Budget		
Base Budget(Worksheet I)	\$ 12,551,896	\$ 12,551,896
Reductions	\$ -	\$ -
Expansion	\$ 2,794,703	\$ 5,253,661
RECOMMENDED BUDGET	<u><u>\$ 15,346,599</u></u>	<u><u>\$ 17,805,557</u></u>
Positions		
Base Budget(Worksheet I)	402.500	402.500
Reductions	-	-
Expansion	<u>45.500</u>	<u>79.500</u>
RECOMMENDED POSITIONS	<u><u>448.00</u></u>	<u><u>482.00</u></u>

Recommended Adjustments by Title / Program

	<u>2007-08</u>	<u>2008-09</u>
1. Increase Licensure and Monitoring Capacity for 24-Hour Residential Facilities		
Funds are requested for the Division of Facility Services' Faculty and Health Service Regulation Section to enable the division to meet the expanding demands of inspecting 24-hour residential facilities for the mentally ill, developmentally disabled, and substance abuse populations. Mental Health Reform has prompted an increase in the number of community residential settings. The division proposes a change in statute to require annual inspections of residential facilities. The statutory change and increase in staff will allow more frequent review of facility compliance, which will provide greater client protection.		
Requirements	\$ 728,521	\$ 1,572,724
Requirements - Nonrecurring	\$ 19,500	
Receipts		
Appropriation	\$ 748,021	\$ 1,572,724
Number of Positions	13.000	23.000
2. Increase Adult Care Licensure Staff		
Funds are requested for the Division of Facility Services to increase the capacity to license and inspect family care and adult care homes. Currently the Adult Care Licensure Section is limited to performing only change of ownership surveys, revisits when needed, and monitoring a portion of DSS quarterly monitoring visits. Additional funds and proposed statutory changes will allow issuance of a six month license and annual inspections, monetary fines for application falsification, and increased penalty amounts. Additional positions supported with these funds will allow the establishment of two additional regional offices, increased regulatory oversight, continuous training for county departments of social services (DSS) monitoring staff, and oversight of reporting requirements for county DSS monitoring visits.		
Requirements	\$ 820,251	\$ 2,105,955
Requirements - Nonrecurring	\$ 20,300	
Receipts		
Appropriation	\$ 840,551	\$ 2,105,955
Number of Positions	14.000	31.000

**Department of Health and Human Services
Supplemental Results Based Budget Package**

3. Improve Facility Safety

Funds are requested for the Construction Section of the Division of Facility Services (DFS) to support additional staff. Currently, on-site inspections to determine regulatory compliance and physical plant safety of most facilities are made for new construction and renovation projects. Limited staff preclude conducting inspections in a routine manner, so the quantity and severity of complaint inspections have increased. Additional staff will allow routine physical plan and life safety inspections every two years, reducing the number of compliant investigations that pose a threat to the safety of residents.

Requirements	\$	531,625	\$	1,187,363
Requirements - Nonrecurring	\$	-	\$	-
Receipts	\$	-	\$	-
Appropriation	\$	531,625	\$	1,187,363
Number of Positions		9.000		16.000

4. Improve Resolution of Citizen Complaints

Funds are requested for the Division of Facility Services (DFS) to support additional FTE that will facilitate more timely responses to complaints from providers, citizens and consumers, and increase timeliness of reporting. Currently, staff in the centralized compliant unit are responsible for receiving complaints from a wide array of facility types; including, but not limited to, nursing facilities, home health agencies, early childhood intervention services, substance abuse facilities and residential therapeutic camps. In addition, this unit must process self-reports from residential facilities and hospitals, as well as respond to calls for information from providers and consumers. A statutory change is proposed that requires the toll-free complaint number to be posted in all residential facilities to ensure access for all consumers. An increase in complaint call volume is anticipated. Funds to support a new automated system are also recommended. The automatic call directory system will enable the unit to track complaint trends, to use the data to implement needed changes in training and consultation, and to monitor the timeliness of responses.

Requirements	\$	217,382	\$	273,843
Requirements - Nonrecurring	\$	600,000		
Receipts	\$	83,127	\$	104,718
Receipts - Nonrecurring	\$	229,440		
Appropriation	\$	504,815	\$	169,125
Number of Positions		4.500		4.500

5. Increase Staff to Inspect and Monitor Non-Medicare Licensed Home Care Agencies

Funds are requested for the Licensure and Certification Section of the Division of Facility Services to support additional FTE which will allow every non-Medicare certified home-care agency to be inspected at least every thirty-six months. These agencies currently receive inspections at licensure and when complaints are received. The Division of Facility Services (DFS) currently has 1.5 FTE dedicated to the inspection and monitoring of more than 1,000 licensed only, non-Medicare certified home care agencies. This funding will allow the non-Medicare certified home care agencies to be on the same inspection schedule as the Medicare certified agencies.

Requirements	\$	271,506	\$	349,591
Requirements - Nonrecurring				
Receipts	\$	101,815	\$	131,097
Appropriation	\$	169,691	\$	218,494
Number of Positions		5.000		5.000

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Summary

Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$ 2,569,285	\$ 5,489,476
Receipts	<u>184,942</u>	<u>235,815</u>
Appropriation	\$ 2,384,343	\$ 5,253,661
Number of Positions	45.500	79.500
Nonrecurring		
Requirements	\$ 639,800	\$ -
Receipts	<u>229,440</u>	<u>-</u>
Appropriation	\$ 410,360	\$ -
Number of Positions	-	-

**Total Recommended Adjustments for
Division of Facility Services
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$ 2,569,285	\$ 5,489,476
Receipts	184,942	235,815
Appropriation	\$ 2,384,343	\$ 5,253,661
Number of Positions	45.500	79.500
Nonrecurring		
Requirements	\$ 639,800	\$ -
Receipts	229,440	-
Appropriation	\$ 410,360	\$ -
Number of Positions	-	-
Total Budget Adjustments	\$ 2,794,703	\$ 5,253,661
Total Position Adjustments	45.500	79.500

**Department of Health and Human Services
Supplemental Results Based Budget Package**

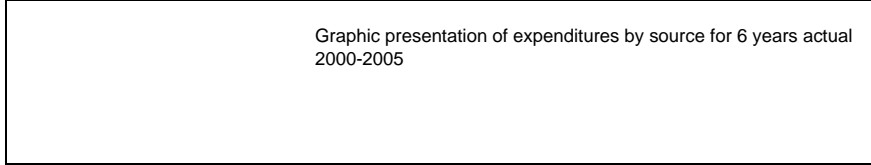
Budget Summary

14470 - Division of Facility Services - General Fund

Expenditure History

Actual Expenditures

June 30

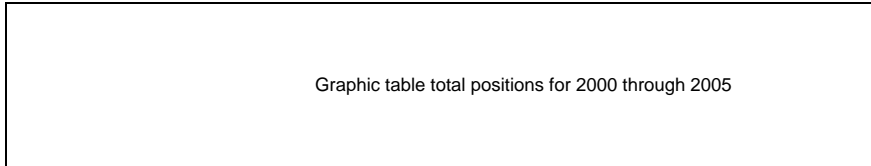


Positions History

Actual Positions

June 30

Budget Preparation System



Total Budget Summary

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	\$ 32,665,213	\$ 41,351,896	\$ 41,351,896	\$ -	\$ 3,209,085	\$ 44,560,981	\$ -	\$ 5,489,476	\$ 46,841,372
<i>State Level Admin.</i>	29,926,712	30,885,786	30,885,786	-	2,396,870	33,282,656	-	4,100,097	34,985,883
<i>Public Assistance % to Total Req.</i>	1,941,710	10,285,491	10,285,491	-	798,198	11,083,689	-	1,365,402	11,650,893
						24.87%			24.87%
<i>Intragov. Transfers</i>	796,791	180,619	180,619	-	14,017	194,636	-	23,977	204,596
Receipts	\$ 20,753,333	\$ 29,150,000	\$ 29,150,000	\$ (350,000)	\$ 414,382	\$ 29,214,382	\$ (350,000)	\$ 106,275	\$ 28,906,275
Appropriation	\$ 11,911,880	\$ 12,201,896	\$ 12,201,896	\$ 350,000	\$ 2,794,703	\$ 15,346,599	\$ 350,000	\$ 5,383,201	\$ 17,935,097
<i>% to Total Req.</i>						34.44%			38.29%
Positions	402.50	402.50	402.50	-	45.50	448.00	-	79.50	482.00

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Detail - Fund / Program Level

Presentation Note: Information contained in this section is presented at the fund / program level. For programs in which all budget activity is contained in a single fund, a Program Description, Program Performance Measures, and Adjusted Budget information is presented in it's respective fund. For those programs that have financial activity in multiple funds this presentation provides Adjusted Budget information at the respective fund level for each of the impacted funds. An Appendix is provided at the end of this section that summarizes Adjusted Budget information for these programs, with multiple fund activity, at the program level and also provides a Program Description and Program Performance Measures. Provided below is a legend identifying the programs presented in this section, by fund.

Program / Other Costs	Fund Code(s)
Adult Care Licensure	1311
Bioterrorism Preparedness	1511
Certificate of Need	1711
Complaint Intake Unit	1311
Health Care Personnel Registry	1311
Health Care Facility Finance Act	1111
Jails and Detention	1311
Licensure and Certification	1311
Medical Facilities Construction	1311
Medical Facilities Planning	1711
Mental Health Licensure & Certification	1311
State Level Administration	1110

**14440 - Division of Facility Services - General Fund
Fund 1311 Facility and Health Services**

Fund Level Summary

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	\$ 20,443,780	\$ 20,975,729	\$ 20,975,729	457,698	3,208,635	\$ 24,642,062	457,698	5,489,476	\$ 26,922,903
<i>State Level Admin.</i>	-	-	-	-	-	-	-	-	-
<i>Public Assistance</i>	-	-	-	-	-	-	-	-	-
<i>Intragov. Transfers</i>	-	-	-	-	-	-	-	-	-
Receipts	\$ 14,013,239	\$ 14,064,151	\$ 14,064,151	325,901	414,382	\$ 14,804,434	325,797	235,815	\$ 14,625,763
Appropriation	\$ 6,430,541	\$ 6,911,578	\$ 6,911,578	131,797	2,794,253	\$ 9,837,628	131,901	5,253,661	\$ 12,297,140
Positions	322.50	322.50	322.50	-	45.50	368.00	-	79.50	402.00

Fund Description

Ensure safe and high quality care, quality of life, and safety of residents and citizens who utilize health, social, and local confinement facilities and related services through regulatory compliance. In carrying out their responsibilities staff will: (1) provide technical assistance to health care facilities to ensure compliance with licensure and certification rules governing health and adult care facilities; (2) conduct reviews/inspections of health and adult care facilities and issue new and renewal licenses when conditions are in compliance with standards; (3) inspect the health and safety conditions at local confinement facilities at least twice a year; (4) maintain a registry of health care professionals working in health care facilities in the state; (5) survey each certified Medicare/Medicaid facility at least once annually to assure compliance with Medicare/Medicaid regulations; and (6) review each Medicaid recipient in Intermediate Care Facility/Mental Retardation and psychiatric facilities at least once annually to assure that he/she is appropriately placed and is receiving care which is sufficient to meet optimal physical/mental/psycho-social needs.

Programs and Other Costs

Adult Care Licensure

Program Description

Is responsible for licensing and regulating assisted living facilities called adult care homes. The primary goal is to ensure the health, safety and welfare of individuals residing in adult care facilities. During annual inspections and county monitoring visits, noncompliance is identified and plans of correction are required. Noncompliance may result in licensure action and/or the imposition of financial penalties.

Key Performance Measures

	2003-04	2004-05	2005-06
Statute changes mandates annual DFS facility surveys. Increased inspections provide greater opportunity to identify serious compliance issues requiring licensure actions.	12	9	15
Number of individuals initially certified or renewed to work as administrators in adult care homes.	269	691	270
Programs reviewed and approved	133	146	155

Adjusted Budget

**Department of Health and Human Services
Supplemental Results Based Budget Package**

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	\$ 4,088,756	\$ 4,100,000	\$ 4,100,000	-	\$ 840,551	\$ 4,940,551	-	\$ 2,105,955	\$ 6,205,955
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	\$ 3,223,508	\$ 3,588,197	\$ 3,588,197	-	-	\$ 3,588,197	-	-	\$ 3,588,197
Appropriation	\$ 865,248	\$ 511,803	\$ 511,803	-	\$ 840,551	\$ 1,352,354	-	\$ 2,105,955	\$ 2,617,758
Positions	65.00	65.00	65.00	-	14.00	79.00	-	31.00	96.00

Compliant Intake

Program Description

To assure the health, safety and welfare of health care recipients of health care facilities and agencies throughout North Carolina through timely and consistent intake of all complaints within the jurisdiction of Division of Facility Services. Intakes are received and processed in accordance with State licensing rules and Federal Centers for Medicare and Medicaid Services regulations as specified in the State Operations Manual.

Key Performance Measures

	2003-04	2004-05	2005-06
The public will contact the Complaint Intake Unit with complaints regarding licensed health care facilities/agencies in North Carolina.	3,472	3,676	5,514
The CIU maintains and follows guidelines for prioritization of complaints and incidents (that require a Federal onsite visit) for Medicare/Medicaid certified facilities.	1	1	1
The Complaint Intake Unit will be readily accessible for receipt of complaints by the public.	4,038	6,397	4,083

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	\$ 2,453,254	\$ 2,500,000	\$ 2,500,000	-	\$ 817,382	\$ 3,317,382	-	\$ 273,843	\$ 2,773,843
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	\$ 2,390,002	\$ 2,437,000	\$ 2,437,000	-	\$ 312,567	\$ 2,749,567	-	\$ 104,718	\$ 2,541,718
Appropriation	\$ 63,252	\$ 63,000	\$ 63,000	-	\$ 504,815	\$ 567,815	-	\$ 169,125	\$ 232,125
Positions	39.00	30.00	30.00	-	4.50	34.50	-	4.50	34.50

Health Care Personnel Registry

Program Description

To help protect the health, safety and welfare of the patients and residents being serve by the health care facilities, agencies and programs regulated by the Division of facility Services.

Key Performance Measures

	2003-04	2004-05	2005-06
Number of new nurse aide I's listed.	18,076	20,748	21,500
Number of nurse aide I's whose listings are updated	41,804	41,930	42,000
Number of nurse aide I registry/health care personnel registry check confirmation numbers issued	519,884	615,000	700,000
Total number of active nurse aide I's listed	106,069	108,031	110,500

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	\$ 1,916,942	\$ 1,990,022	\$ 1,990,022	\$ 457,698	-	\$ 2,447,720	\$ 457,698	-	\$ 2,447,720
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	\$ 1,124,195	\$ 1,274,341	\$ 1,274,341	\$ 325,901	-	\$ 1,600,242	\$ 325,901	-	\$ 1,600,242
Appropriation	\$ 792,747	\$ 715,681	\$ 715,681	\$ 131,797	-	\$ 847,478	\$ 131,797	-	\$ 847,478
Positions	32.00	32.00	32.00	-	-	32.00	-	-	32.00

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Jail and Detention Program

Program Description

The major emphasis of the Jails and Detention Section is to determine compliance with NC Statutes and Administrative Rules governing health, safety and physical environment by conducting semiannual inspections of all 118 county, municipal, and regional jails throughout North Carolina. In addition, the Section provides technical assistance to local government and reviews plans for all major physical plant renovation and new jail construction projects. Technical assistance is primarily focused on the interpretation of statutes and rules governing health, safety and physical plant. The Section also investigates grievances related to compliance with Statutes and Rules received from inmates, the Governor's Office, the Attorney General's Office and the general public. The Section also maintains monthly inmate population reports and tracks inmate deaths. In order to accomplish the workload of inspections and other duties, the Section has 3 field staff and 1 support staff and its office is based in Raleigh.

Performance Measures

	2003-04	2004-05	2005-06
Number of jails inspected.	232	232	236
Number of grievances investigated annually.	132	250	250+
Number of monthly reports received annually.	1,200	1,200	1,200

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	\$ 1,431,065	\$ 1,450,000	\$ 1,450,000	-	-	1,450,000	-	-	1,450,000
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	\$ 1,204,588	\$ 1,221,600	\$ 1,221,600	-	-	1,221,600	-	-	1,221,600
Appropriation	\$ 226,477	\$ 228,400	\$ 228,400	-	-	228,400	-	-	228,400
Positions	23.00	23.00	23.00	-	-	23.00	-	-	23.00

Licensure and Certification

Program Description

In addition to inspection activities the Licensure and Certification Section performs the licensing activities of the entities described above and activities involving their participation in the Medicare/Medicaid programs. Much work is also done with providers, advocates, consumers and others in the area of training, policy development and interpretation of laws and rules. In order to accomplish the workload of inspections and other duties the Section is required to perform, it has one central office and a regional office in Black Mountain. Program branches are as follows: Complaints, Nursing Homes, Acute, Home Care & Laboratories, and Training, Automation & Records. The Black Mountain office is responsible for health entities in the western third of the state including Iredell and Mecklenburg counties. Forty staff are based out of this office with staff handling both complaint investigations as well as the routine inspections. This office location provides access to professional staff and public records for the region's citizens and providers promoting responsive interaction to serve this region's needs.

Key Performance Measures

	2003-04	2004-05	2005-06
Inspection of every Medicare/Medicaid certified nursing home every year and licensed only nursing homes every 3 years. Licensure and Certification - Investigation of all complaints.	1,904	1,956	1,997
Complaint investigation of serious hospital complaints, follow-up and full inspections when directed by the Centers for Medicare/Medicaid Services.	182	182	191
Home health and home care agency full, follow-up and complaint inspections.	389	448	525
Conduct full, follow-up and complaint inspections for 1/3 of the dialysis facilities every year.	140	151	152
Conduct full, follow-up and complaint inspections for 15% of the hospices every year.	34	34	34

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	\$ 3,475,443	\$ 3,500,000	\$ 3,500,000	-	\$ 271,506	\$ 3,771,506	-	\$ 349,591	\$ 3,849,591
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	\$ 1,076,569	\$ 2,315,301	\$ 2,315,301	-	\$ 101,815	\$ 2,417,116	-	\$ 131,097	\$ 2,446,398
Appropriation	\$ 2,398,874	\$ 1,184,699	\$ 1,184,699	-	\$ 169,691	\$ 1,354,390	-	\$ 218,494	\$ 1,403,193
Positions	55.00	55.00	55.00	-	5.00	60.00	-	5.00	60.00

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Medical Facilities Construction

Program Description

The licensure unit architects and engineers, (State funded), review plans, for both new and existing buildings, and make comments for correction to those plans for facilities wishing to be initially licensed or modified after licensing. Construction inspections of some types of facilities are made to verify initial and continued compliance with construction and life safety standards. Many existing facilities are not inspected on any regular basis. Inspections are made when initiated by complaints, requests by other agencies, and/or a facility's request. The Federal Certification program mandates that each facility that receives Federal monies for Medicare and or Medicaid are inspected as required by the Centers for Medicare and Medicaid Services (CMS). HFA projects are guided through and monitored for the NC Medical Care Commission by Construction section staff.

Performance Measures

	2003-04	2004-05	2005-06
Number of plans and specifications reviewed for compliance with Licensure Rules, Certification requirements, and Health Care Facility Finance Act (HFA) program requirements;	915	1,056	1,100
Conduct on-site initial, follow-up, and complaint inspections of regulated facilities wishing to be or already licensed and/or certified to attain substantial compliance with mandated requirements.	3,614	3,916	4,500

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	\$ 1,431,065	\$ 1,450,000	\$ 1,450,000	-	531,625	\$ 1,981,625	-	1,187,363	\$ 2,637,363
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	\$ 440,546	\$ 459,483	\$ 459,483	-	-	\$ 459,483	-	-	\$ 459,483
Appropriation	\$ 990,519	\$ 990,517	\$ 990,517	-	\$ 531,625	\$ 1,522,142	-	\$ 1,187,363	\$ 2,177,880
Positions	23.00	23.00	23.00	-	9.00	32.00	-	16.00	39.00

Mental Health Licensure and Certification

Program Description

The MH Licensure & Certification Program is divided into 3 distinct branches: the Psychiatric Branch, the ICF/MR Branch, and the Mental Health Licensure Branch. The Psychiatric Branch is responsible for regulating the following: (a) psychiatric hospitals and psychiatric units within acute care hospitals, (b) Psychiatric Residential Treatment Facilities (PRTFs), (c) state psychiatric hospitals, (d) state substance abuse treatment centers, (e) private substance abuse treatment centers, (f) foster care camps, and (g) residential therapeutic camps. Regulatory responsibilities include initial, complaint, follow-up, annual, and full investigations. Psychiatric hospitals, psychiatric units and PRTFs are licensed by the state, and certified for participation in the Medicare/Medicaid program. Therefore, they are subject to compliance with both state licensure statutes and rules and Medicare/Medicaid regulations. Wilderness camps are licensed by the state and are subject to either 122C or 131D statutes. Intermediate care facilities for the mentally retarded (ICF/MR) are licensed by the Mental Health Licensure Branch, according to state licensure rules.

Key Performance Measures

	2003-04	2004-05	2005-06
Initial, Annual, and Other Investigations	803	1,286	1,000
Complaint Investigations	56	79	80
Complaint Follow-Ups	76	34	50

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	\$ 2,453,254	\$ 2,500,000	\$ 2,500,000	-	\$ 748,021	\$ 3,248,021	-	\$ 1,572,724	\$ 4,072,724
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	\$ 114,164	\$ 513,481	\$ 513,481	-	-	\$ 513,481	-	-	\$ 513,481
Appropriation	\$ 2,339,090	\$ 1,986,519	\$ 1,986,519	-	\$ 748,021	\$ 2,734,540	-	\$ 1,572,724	\$ 3,559,243
Positions	39.00	39.00	39.00	-	13.00	52.00	-	23.00	62.00

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Division of Social Services

Mission

The N.C. Division of Social Services is dedicated to assisting and providing opportunities for individuals and families in need of basic economic support and services to become self-supporting and self-reliant. The Division of Social Services advocates for and encourages individuals' rights to select actions appropriate to their needs. Furthermore, we recognize our responsibility through teamwork and professional effort to assist in this process. Toward this end, in cooperation with local departments of social services and other public and private entities, we seek to identify needs, devise and focus resources, and deliver services responsively, timely and compassionately.

Program Goals

Adoption - To provide permanent homes for infants, children and adolescents who are in foster care system and unable to return to their biological parents

Child Protective Services - To reduce the rate of maltreatment of children through legally mandated non-voluntary services for families with infants, children, and adolescents who have been harmed, or are at imminent risk of harm due to the actions or lack of protection by the child's parent or caretaker. Protective services for children are available 24 hours a day seven days a week.

Child Support Enforcement - To consistently collect as much child support as possible and to help families become self sufficient.

Family Preservation and Support - Families will establish safe and supportive environments for their children. Programs will support the health development of children. Programs will establish and maintain family involvement in program planning and mobilize public and private community resources to assist families and children in need.

Family Violence Prevention Program - To provide shelter and services for victims of domestic violence.

Food Stamps - To assist low income individuals and families with little or no income to buy nutritious food,

Foster Care Services - To provide a safe, nurturing environment for infants, children and adolescents in DSS custody, family-centered services to achieve reunification or permanence, and services to young adults who age out of foster care.

LIHEAP - Provide Funds to low income households to help pay heating expenses.

Refugee Assistance Program (RAP) - To enable "refugee" and other eligible assistance and refugee social services. Eligible beneficiaries include: refugees, persons granted asylum, Cuban and Haitian entrants.

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Summary

Governor's Recommended Adjustments to Base Budget

14440 -Division of Social Services General Fund

Recommended Budget and Positions

	<u>2007-08</u>	<u>2008-09</u>
Budget		
Base Budget(Worksheet I)	\$ 182,316,068	\$ 185,306,068
Reductions	\$ -	\$ -
Expansion	<u>\$ 590,403</u>	<u>\$ 693,295</u>
RECOMMENDED BUDGET	<u><u>\$ 182,906,471</u></u>	<u><u>\$ 185,999,363</u></u>
Positions		
Base Budget(Worksheet I)	758.00	758.00
Reductions	-	-
Expansion	<u>8.00</u>	<u>8.00</u>
RECOMMENDED POSITIONS	<u><u>766.00</u></u>	<u><u>766.00</u></u>

Recommended Adjustments by Title / Program

2007-08 2008-09

1. Strengthen Regulatory Oversight for Licensure / Foster Care Program

Funds are recommended to strengthen regulatory oversight in the Division of Social Services, which is the licensing authority for all foster care facilities, including family foster care homes, therapeutic foster homes, child placing agencies, maternity homes and residential facilities. As the licensing authority, the division is required by G.S. 131D to ensure that children who have been abused, neglected, or found to be dependent are provided a safe and appropriate foster care environment. To provide greater oversight of this critical regulatory function, the following infrastructure components are needed: (1). Sufficient division regulatory staff to conduct required annual visits. (2). Training for county DSS licensing staff. (3). Training for foster parents. Family foster care homes are the preferred placement for children; therefore, the division seeks to contract with NC Foster Parent Association to provide annual training opportunities for foster parents.

Requirements	\$ 684,678	\$ 799,570
Requirements - Nonrecurring	\$ 12,000	\$ -
Receipts	\$ 106,275	\$ 106,275
Appropriation	\$ 590,403	\$ 693,295
Number of Positions	8.000	8.000

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Summary

Total Recommended Expansion

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$ 684,678	\$ 799,570
Receipts	<u>106,275</u>	<u>106,275</u>
Appropriation	\$ 578,403	\$ 693,295
Number of Positions	8.000	8.000
Nonrecurring		
Requirements	\$ 12,000	\$ -
Receipts	<u>-</u>	<u>-</u>
Appropriation	\$ 12,000	
Number of Positions	-	-

**Total Recommended Adjustments for
Division of Social Services
2007-09**

	<u>2007-08</u>	<u>2008-09</u>
Recurring		
Requirements	\$ 687,678	\$ 799,570
Receipts	106,275	106,275
Appropriation	\$ 578,403	\$ 693,295
Number of Positions	8.000	8.000
Nonrecurring		
Requirements	\$ 12,000	\$ -
Receipts	-	-
Appropriation	\$ 590,403	\$ 693,295
Number of Positions	8.000	8.000
Total Budget Adjustments	\$ 590,403	\$ 693,295
Total Position Adjustments	8.000	8.000

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Summary

14440 - Division of Social Services - General Fund

Expenditure History

**Actual Expenditures
June 30**

Graphic presentation of expenditures by source for 6 years actual
2000-2005

Positions History

**Actual Positions
June 30
Budget Preparation System**

Graphic table total positions for 2000 through 2005

Total Budget Summary

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	1,328,366,587	1,354,848,454	1,354,848,454	3,235,330	696,678	1,358,780,462	4,344,187	799,570	1,359,992,211
State Level Admin.	64,845,310	83,557,251	83,557,251	2,162,566	356,678	86,076,495	2,550,186	459,570	86,567,007
Public Assistance % to Total Req.	1,241,221,756	1,259,177,430	1,259,177,430	1,072,764	340,000	1,260,590,194 92.77%	1,794,001	340,000	1,261,311,431 92.74%
Intragov. Transfers	22,299,521	12,113,773	12,113,773	-	-	12,113,773	-	-	12,113,773
Receipts	1,151,557,475	1,177,482,386	1,177,482,386	(1,714,670)	106,275	1,175,873,991	(3,595,813)	106,275	1,173,992,848
Appropriation	176,809,112	177,366,068	177,366,068	4,950,000	590,403	182,906,471	7,940,000	693,295	185,999,363
% to Total Req.						13.46%			13.68%
Positions	758.00	757.00	757.00	1.00	8.00	766.00	1.00	8.00	766.00

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Detail - Fund / Program Level

Presentation Note: Information contained in this section is presented at the fund / program level. For programs in which all budget activity is contained in a single fund, a Program Description, Program Performance Measures, and Adjusted Budget information is presented in it's respective fund. For those programs that have financial activity in multiple funds this presentation provides Adjusted Budget information at the respective fund level for each of the impacted funds. An Appendix is provided at the end of this section that summarizes Adjusted Budget information for these programs, with multiple fund activity, at the program level and also provides a Program Description and Program Performance Measures. Provided below is a legend identifying the programs presented in this section, by fund.

<u>Program / Other Costs</u>	<u>Fund Code(s)</u>
Adoption	1140, 1180, 1250, 1480, Appendix
Child Protective Services - CPS	1140, 1180, 1410, 1480, Appendix
Child Support Enforcement	1110, 1180, 1130, 1500, Appendix
Family Preservation & Support Svcs.	1140
Family Violence Prevention	1140, 1170, 1180, 1480, Appendix
Food Stamps	1110, 1130, 1140, 1310, Appendix
Foster Care Services	1140, 1180, 1260, 1480, Appendix
Low Income Energy Assist. (LIHEAP)	1110, 1180, 1240, 1280, Appendix
Project Share	1280
Share the Warmth	1280
Wake Electric Round Up (WERU)	1280
Work First	1140, 1180, 1220, 1480, Appendix
State Level Administration	1110

**14440 - Division of Social Services - General Fund
Fund 1180 Aid to Counties**

Fund Level Summary

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	291,911,510	291,693,790	291,693,790	50,000	-	291,743,790	60,000	-	291,753,790
<i>State Level Admin.</i>	-	-	-	-	-	-	-	-	-
<i>Public Assistance</i>	291,911,510	291,693,790	291,693,790	50,000	-	291,743,790	60,000	-	291,753,790
<i>Intragov. Transfers</i>	-	-	-	-	-	-	-	-	-
Receipts	281,121,415	277,118,881	277,118,881	-	-	277,118,881	-	-	277,118,881
Appropriation	10,790,095	14,574,909	14,574,909	50,000	-	14,624,909	60,000	-	14,634,909
Positions	-	-	-	-	-	-	-	-	-

Fund Description

County Administration provides financial assistance to county governments in meeting costs of administering public assistance and service programs. These include county department costs associated with determining if welfare applicants meet financial and income requirements as well as other county administrative and personnel functions. Funds from various federal programs such as TANF, Food Stamps and the Social Services Block Grant are transferred from this program to the county to meet these operating costs.

Programs and Other Costs

Adoption

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	20,433,806	20,418,565	20,418,565	3,500	-	20,422,065	4,200	-	20,422,765
<i>State Level Admin.</i>	-	-	-	-	-	-	-	-	-
<i>Public Assistance</i>	20,433,806	20,418,565	20,418,565	3,500	-	20,422,065	4,200	-	20,422,765
<i>Intragov. Transfers</i>	-	-	-	-	-	-	-	-	-
Receipts	19,678,499	19,398,322	19,398,322	-	-	19,398,322	-	-	19,398,322
Appropriation	755,307	1,020,243	1,020,243	3,500	-	1,023,743	4,200	-	1,024,443
Positions	-	-	-	-	-	-	-	-	-

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Child Protective Services

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	43,786,727	43,754,069	43,754,069	7,500	-	43,761,569	9,000	-	43,763,069
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	43,786,727	43,754,069	43,754,069	7,500	-	43,761,569	9,000	-	43,763,069
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	42,168,212	41,567,832	41,567,832	-	-	41,567,832	-	-	41,567,832
Appropriation	1,618,515	2,186,237	2,186,237	7,500	-	2,193,737	9,000	-	2,195,237
Positions	-	-	-	-	-	-	-	-	-

Child Support Enforcement

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	49,624,957	49,587,944	49,587,944	8,500	-	49,596,444	10,200	-	49,598,144
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	49,624,957	49,587,944	49,587,944	8,500	-	49,596,444	10,200	-	49,598,144
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	47,790,641	47,110,210	47,110,210	-	-	47,110,210	-	-	47,110,210
Appropriation	1,834,316	2,477,734	2,477,734	8,500	-	2,486,234	10,200	-	2,487,934
Positions	-	-	-	-	-	-	-	-	-

Family Violence Prevention

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	8,757,345	8,750,814	8,750,814	1,500	-	8,752,314	1,800	-	8,752,614
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	8,757,345	8,750,814	8,750,814	1,500	-	8,752,314	1,800	-	8,752,614
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	8,433,642	8,313,566	8,313,566	-	-	8,313,566	-	-	8,313,566
Appropriation	323,703	437,248	437,248	1,500	-	438,748	1,800	-	439,048
Positions	-	-	-	-	-	-	-	-	-

Foster Care

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	58,382,302	58,338,758	58,338,758	10,000	-	58,348,758	12,000	-	58,350,758
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	58,382,302	58,338,758	58,338,758	10,000	-	58,348,758	12,000	-	58,350,758
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	56,224,283	55,423,776	55,423,776	-	-	55,423,776	-	-	55,423,776
Appropriation	2,158,019	2,914,982	2,914,982	10,000	-	2,924,982	12,000	-	2,926,982
Positions	-	-	-	-	-	-	-	-	-

LIHEAP

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	8,757,345	8,750,814	8,750,814	1,500	-	8,752,314	1,800	-	8,752,614
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	8,757,345	8,750,814	8,750,814	1,500	-	8,752,314	1,800	-	8,752,614
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	8,433,642	8,313,566	8,313,566	-	-	8,313,566	-	-	8,313,566
Appropriation	323,703	437,248	437,248	1,500	-	438,748	1,800	-	439,048
Positions	-	-	-	-	-	-	-	-	-

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Work First

Adjusted Budget

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	52,544,072	52,504,882	52,504,882	9,000	-	52,513,882	10,800	-	52,515,682
<i>State Level Admin.</i>	-	-	-	-	-	-	-	-	-
<i>Public Assistance</i>	<i>52,544,072</i>	<i>52,504,882</i>	<i>52,504,882</i>	<i>9,000</i>	<i>-</i>	<i>52,513,882</i>	<i>10,800</i>	<i>-</i>	<i>52,515,682</i>
<i>Intragov. Transfers</i>	-	-	-	-	-	-	-	-	-
Receipts	50,601,855	49,881,399	49,881,399	-	-	49,881,399	-	-	49,881,399
Appropriation	1,942,217	2,623,483	2,623,483	9,000	-	2,632,483	10,800	-	2,634,283
Positions	-	-	-	-	-	-	-	-	-

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Budget Detail - Fund / Program Level

Appendix

Adoption

Program Description

To provide permanent homes for infants, children and adolescents who are in foster care system and unable to return to the home of their biological parents

Key Performance Measures

	2003-04	2004-05	2005-06
Placement of foster children in permanent homes	1,374	1,392	1,415
Number of foster children placed in adoptive homes	332	373	390
Number of children from the foster care system placed in adoptive homes	1,374	1,392	1,415

Adjusted Budget - Total Program

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
State Level Admin.	-	Financial Information will be entered by the Division.				-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation	-	-	-	-	-	-	-	-	-
Positions	-	-	-	-	-	-	-	-	-

Child Protective Services

Program Description

To reduce the rate of maltreatment of children through legally mandated non-voluntary services for families with infants, children, and adolescents who have been harmed, or are at imminent risk of harm due to actions or lack of protection by the child's parent or caretaker. Protective services for children are available 24 hours a day seven days a week.

Key Performance Measures

	2003-04	2004-05	2005-06
Number of children referred for Investigative Assessments or Family Assessments. (Child Welfare Central Registry Statistics)	113,557	111,581	115,890
Number of children subjects of Investigative (forensic) Assessment. (Child Welfare Central Registry Statistics)	94,411	74,581	64,563
Number of children subjects of Family Assessment. (Child Welfare Central Registry Statistics)	19,146	37,000	51,327
Number of children with cases opened for Case Planning/Case Management services. (Child Welfare Central Registry Statistics)	27,310	26,670	24,674
To reduce the rate of repeat maltreatment within 6 months of previous maltreatment findings	6.00%	6.08%	6.00%

Adjusted Budget - Total Program

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation	-	-	-	-	-	-	-	-	-
Positions	-	-	-	-	-	-	-	-	-

Child Support Enforcement

Program Description

To reduce the rate of maltreatment of children through legally mandated non-voluntary services for families with infants, children, and adolescents who have been harmed, or are at imminent risk of harm due to actions or lack of protection by the child's parent or caretaker. Protective services for children are available 24 hours a day seven days a week.

Key Performance Measures

	2003-04	2004-05	2005-06
Increase Collections	\$561,324,393	\$597,056,045	\$622,671,753
Percent of Cases Under Order	78.40%	80.00%	82.00%
Percent Collection Rate	62.30%	62.30%	63.30%

**Department of Health and Human Services
Supplemental Results Based Budget Package**

Program participation (non custodial parent)

568

694

763

Adjusted Budget - Total Program

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation	-	-	-	-	-	-	-	-	-
Positions	-	-	-	-	-	-	-	-	-

Family Violence Prevention

Program Description

To provide shelter and services for victims of domestic violence.

Performance Measures

2003-04 2004-05 2005-06

Domestic violence shelters and related services are available across the state as resources for victims of Domestic violence. Number victims served:

44,944 50,857 52,500

Work First recipients receiving domestic violence counseling, support and other direct services:
(Unduplicated count)

1,285 1,541 1,700

Adjusted Budget - Total Program

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation	-	-	-	-	-	-	-	-	-
Positions	-	-	-	-	-	-	-	-	-

Foster Care

Program Description

To provide a safe, nurturing environment for infants, children and adolescents in DSS custody, family-centered services to achieve reunification or permanence, and services to your adults who age out of foster care.

Key Performance Measures

2003-04 2004-05 2005-06

Total number of family foster homes available

6,489 6,449 6,469

Total number of children in Foster Care.

10,112 10,442 10,500

Increase % youth ach. h.s. diploma or GED by age 21

52% 70% 75%

Increase % of youth employed same job 6 mo in 1st yr after discharge

28% 21% 30%

Increase % of students who continue education after GED/HSD

NA 45% 50%

Decrease % who age out & are single parents within 1 yr of discharge

16% 13% 12%

Decrease % of youth homeless at any point in first year of discharge

12% 15% 14%

Increase number of students participating in ETV program

223 243 250

Adjusted Budget - Total Program

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation	-	-	-	-	-	-	-	-	-
Positions	-	-	-	-	-	-	-	-	-

**Department of Health and Human Services
Supplemental Results Based Budget Package**

LIHEAP

Program Description

Provide funds to low income households to help pay heating expenses.

Performance Measures

	2003-04	2004-05	2005-06
The effectiveness of the LIHEAP is determined by the number of families served	274,694	278,197	298,500
The effectiveness of the Crisis Intervention Program (CIP) is determined by the number of households served	75,791	66,238	73,500

Adjusted Budget - Total Program

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation	-	-	-	-	-	-	-	-	-
Positions	-	-	-	-	-	-	-	-	-

Work First

Program Description

To assist eligible low income families with children in achieving economic self-sufficiency through employment, training, etc. while concurrently providing financial assistance.

Key Performance Measures

	2003-04	2004-05	2005-06
Number of clients served through Family Assistance	42,177 avg month	39,426 avg month	34,560 avg month
Number of Clients Served through Employment Services	61,881	57,484	66,641
Focused after school programs can reduce the number of school dropouts and reduce the number of teen pregnancies	2,000	3,700	4,000
Number of clients served through Work Central	8,493	11,727	16,192
Adults Entering Employment	13,619	13,162	7,600
Meeting Federal Participation Rate	100%	100%	100%
Providing Diversion Assistance	15,424	16,000	14,000
Job Retention (6 months)	113%	67.70%	60%
Providing Employment Services	112%	91.50%	100%
Job Retention (12 months)	103%	50%	50%

Adjusted Budget - Total Program

	2005-06 Actual Expenditures	2006-07 Certified Budget	2006-07 Authorized Budget	2007-08 Continuation Adjustment	2007-08 Expansion Adjustment	2007-08 Total Budget	2008-09 Continuation Adjustment	2008-09 Expansion Adjustment	2008-09 Total Budget
Total Requirements	-	-	-	-	-	-	-	-	-
State Level Admin.	-	-	-	-	-	-	-	-	-
Public Assistance	-	-	-	-	-	-	-	-	-
Intragov. Transfers	-	-	-	-	-	-	-	-	-
Receipts	-	-	-	-	-	-	-	-	-
Appropriation	-	-	-	-	-	-	-	-	-
Positions	-	-	-	-	-	-	-	-	-